

**The Art & Science of Successful Planning's
Business Continuity Plan Summary**

**Date
February 23, 2024**

The Art & Science of Successful Planning ("ASofSP") understands the importance of ensuring our customers have continued access to their funds and securities in the event our office operations are impacted by a disaster. As a result, we have developed and maintain a business continuity plan that describes the means by which ASofSP's office operations in Fort Myers will respond to future significant business disruptions of varying degrees of severity.

ASofSP maintains an independent custody relationship with Various custodians. ASofSP data is held in all three of these home office operations at each of their respective addresses. All three is equipped to back up ASofSP for resumption of business in the event a significant business disruption affects the building, business district, city and/or the region. All three are also equipped for back up as well through other areas throughout the country. We will continue to conduct business during those disruptions and may choose to relocate services to designated backup facilities should a disaster happen. Relocations of critical functions can be completed within a reasonable time frame via the world wide web through the acquisition of new computers or computers hosted off premises should a significant disruption occur, as all three relationships are web centric.

ASofSP's Data Center is in Fort Myers, FL. In additions, our advisory business is operated by our Clearing Firms (John Hancock, Orion, Pershing, Envestnet, Nationwide, Charles Schwab, and Fidelity) that are located in yet different regions of the country. All of these providers are independent of one another and ASofSP, and have complete business continuity plans designed to allow them to continue operations in the event they experience a significant business disruption too. Their plans include redundancies, alternate facilities, and recovery time objectives that support our plan.

In the event of a significant business disruption at ASofSP's location in Fort Myers, FL. customers may contact their respective custodians or direct investment purveyor at each of their respective publicly listed (800) customer service lines.

Please be advised that while we have detailed plans in place, we cannot guarantee we will be able to recover as quickly as outlined above under ALL possible circumstances. Our recovery time objective may be negatively impacted by the unavailability of third parties and/or other circumstances beyond our control like internet and or electrical power outages.

Third party business continuity plans are reviewed and tested throughout the year and are subject to modification. To obtain the most current version of this summary, you may refer to each respective custodian of direct investment website at:

[Envestnet.com/business-continuity](https://www.envestnet.com/business-continuity)

[Fidelity.com](https://www.fidelity.com)

[Orion.com](https://www.orion.com)

[Nationwideadvisory.com](https://www.nationwideadvisory.com)

[Johnhancock.com/index.html](https://www.johnhancock.com/index.html)

[Pershing.com/disclosures](https://www.pershing.com/disclosures)

or your ASofSP representative to request an updated summary be delivered by mail.